

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/870,801
				Filing Date	June 1, 2001
				First Named Inventor	Karl L. Ginter, et al.
				Art Unit	2132
				Examiner Name	Justin T. Darrow
Sheet	1	of	1	Attorney Docket Number	07451.0001-18000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
GB		Exhibit A: Patent Interference No. 105,142, Benson vs. Ginter, "Decision on Motions," mailed November 17, 2004, 24 pages.	
GB		Exhibit B: Patent Interference No. 105,142, Ginter vs. Benson, "Decision on Priority," mailed December 28, 2005, 156 pages.	
GB		Exhibit C: Patent Interference No. 105,142, Ginter vs. Benson, "Amended Judgment - Bd. Rule 127," mailed January 5, 2006, 5 pages.	
CH		Exhibit D: Patent Interference No. 105,142, Ginter vs. Benson, "Judgment - Bd. Rule 127," mailed June 8, 2005, 4 pages.	

Examiner Signature	Gilberto Barron	Date Considered	6/21/00
-----------------------	-----------------	--------------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/870,801
 Filing Date July 1, 2001
 First Named Inventor GINTER et al.
 Art Unit 2132
 Examiner Name Justin T. DARROW
 Attorney Docket Number 07451.0001-18000

JUN 10 2005

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁴
		Country Code ¹ Number ² Kind Code ³ (if known)				
		JP 01 248891 A	10-04-1989			Abstract only
		JP 01 296363 A	11-29-1989			Abstract only
		JP 01 68853 A	03-14-1989			Abstract only
		JP 04 117548 A	04-17-1992			Abstract only
		JP 04 504794	08-20-1992			Abstract only
		JP 05 173892 A	07-13-1993			Abstract only
		JP 05 258463 A	10-08-1993			Abstract only
		JP 06 152585 A	05-31-1994			Abstract only
		JP 06 161719 A	06-10-1994			Abstract only
		JP 06 250924	09-09-1994			Abstract only
		JP 06 501120	01-27-1994			Abstract only
		JP 07 319681 A	12-08-1995			Abstract only
		JP 61 121145 A	06-09-1986			Abstract only
		JP 63 129564 A	06-01-1988			Abstract only
		JP 63 289646 A	11-28-1988			Abstract only

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁵
	C1	"Information Society Facing a Turning Point, Information Flood, How to Stand Against Flood of Copies," <i>Nikkei Byte</i> , 92:316-319, 1991.	Unavailable
	C2	GARRETT et al., "Toward an electronic Copyright Management System," <i>J. of the Amer. Soc. for Info.</i> , 44(8):468-473, 1993.	Yes
	C3	KOZUKA et al., "Electronic Magazine Editing Software for 3DO," <i>National Technical Report, Matsushita Electric Industrial Co., Ltd.</i> , 40(6):88-97, 1994.	Abstract only
	C4	ROZENBLIT, Moshe, "Secure Software Distribution," <i>IEEE Network Operations and Management Symposium</i> , 2:486-496, 1994.	Yes
	C5	SEKI et al., "A Proposal for New Software Distribution System Using a Secret Code," <i>Research Report of Information Processing Societies</i> , 83(64):19-28, 1993.	Abstract only

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/870,801
Filing Date	July 1, 2001
First Named Inventor	GINTER et al.
Art Unit	2132
Examiner Name	Justin T. DARROW
Attorney Docket Number	07451.0001-18000

NON PATENT LITERATURE DOCUMENTS

C6	TERADA, Minoru, "Exhausting Software," <i>bit</i> , Kyoritsu Shuppan Co., Ltd., 26(10):12-18, 1994.	Unavailable
C7	TORII et al., "System Architecture for Super Distribution," <i>Technical Research Report of Institute of Electronics, Information and Communication Engineers</i> , 94(240):59-66, 1994.	Abstract only
C8	UEKI et al., "Accounting Processing in Right Management Mechanism for Super Distribution," <i>Study Report of Information Processing Societies</i> , 90(1):1-10, 1990.	Abstract only

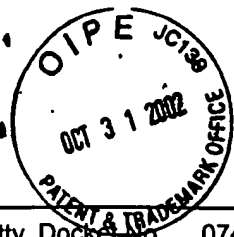
Examiner
Signature

Gilberto Barron

Date
Considered

6/21/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE CITATION

Atty. Docket No. 07451.0001-18000	Appln. No. 09/870,801
Applicant Karl L. Ginter, et al.	
Filing Date June 1, 2001	Group: 2132

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
65	4,658,093	04-14-87	Hellman	380	25	07-11-83
	5,530,235	06-25-96	Stefik, et al.	235	492	02-16-95
	5,534,975	07-09-96	Stefik, et al.	355	202	05-26-95
	5,603,031	02-11-97	White et al.	395	683	07-08-93
	5,629,980	05-13-97	Stefik, et al.	380	4	11-23-94
	5,634,012	05-27-97	Stefik, et al.	395	239	11-23-94
	5,638,443	06-10-97	Stefik, et al.	380	4	11-23-94
	5,715,403	02-03-98	Stefik	395	244	11-23-94
	6,016,393	01-18-00	White, et al.	395	683	02-10-97

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
65	WO 93/01550	01-21-93	PCT	G06F	11/34	
	EP 0715243 A1	06-05-96	EPO	G06F	1/00	
	EP 0715244 A1	06-05-96	EPO	G06F	1/00	
	EP 0715245 A1	06-05-96	EPO	G06F	1/00	
	EP 0715246 A1	06-05-96	EPO	G06F	1/00	
	EP 0715247 A1	06-05-96	EPO	G06F	1/00	

RECEIVED

NOV 01 2002

Technology Center 2100

Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
65	Abadi, M., et al., "Authentication and Delegation with Smart-cards," Technical Report 67, DEC Systems Research Center, October 1990, available at http://citeseer.nj.nec.com/article/abadi92authentication.html , pp. 1-19.
	Blaze, M., "A Cryptographic File System for Unix," pre-print of paper for First ACM Conference on Computer and Communications Security, Fairfax, Virginia, Nov. 3-5, 1993, pp. 1-8.
	Blaze, M., "Key Management in an Encrypting File System," Proc. Summer '94 USENIX Tech. Conference, Boston, MA. June 1994, available at http://www.usenix.org/publicaitons/libratry/proceedings/bos94/full_papers/blaze.asp , pp. 1-12.
	Castano, S., et al., "Database Security," Addison-Wesley & Acm Press, 1995
	Chaum, D., "Achieving Electronic Privacy," Scientific American, August 1992, pp. 96-101.



INFORMATION DISCLOSURE CITATION

RECEIVED

NOV 01 2002

Technology Center 2100

Atty. Docket No.	07451.0001-18000	Appln. No.	09/870,801
Applicant	Karl L. Ginter, et al.		
Filing Date	June 1, 2001	Group:	2132

AB	Chaum, D., et al. "Wallet databases with observers," Ernest F. Brickell, editor, Advances in Cryptology - CRYPTO '92, 12 th Annual International Cryptology Conference, Santa Barbara, CA, August 16-20, 1992, Proceedings, pp. 89-105.
	Chaum, D., "Security Without Identification Card Computers to Make Big Brother Obsolete," Communications of the ACM, Vol. 28., No. 10, Oct. 1985, pp. 1-24.
	"List of Articles," < www.chaum.com/articles/list-of-articles.htm >, as on August 23, 2002, 4 pages.
	Choudhury, A.K., et al., "Copyright Protection for Electronic Publishing Over Computer Networks," AT&T Bell Laboratories, Murray Hill, N.J., submitted to IEEE Network Magazine, June 1994, pp. 1-17.
	Cox, B., "What if there is a Silver Bullet and the competition gets it first?" Journal of Object-Oriented Programming, June 1992, available at < http://www.virtualschool.edu/cox/CoxWhatIfSilverBullet.html >, pp. 1-5.
	CUPID Protocols and Services (Version 1): "An Architectural Overview," November 1992, available at < http://www.cni.org/projects/CUPID >, 25 pages.
	Custer, H. "Inside Windows NT," Microsoft Press, Redmond WA, 1993.
	Denning, D. E., et al., "Data Security," 11 Computing Surveys, No. 3, Sept. 1979, pp. 227-249.
	Denning, D. E., "Secure Personal Computing in an Insecure Network," Communications of the ACM, August, 1979, Vol. 22, No. 8, pp. 476-482.
	Ioannidis, J., et al., "The Architecture and Implementation of Network-Layer Security Under Unix," Fourth USENIX Security Symposium Proceedings (Oct.), USENIX, Berkeley, Calif. 1993, pp. 1-11.
	Kohl, J., et al., "The Kerberos Network Authentication Service (V 5)," Network Working Group Request for Comments RFC-1510, September 1993, pp. 1-104.
	Kohl, U, et al., "Safeguarding Digital Library Contents and Protecting Documents Rather Than Channels," in <i>D-lib Magazine</i> , September 1997, available at < http://www.dlib.org/dlib/september97/libm/09lotspiech.html >, pp. 1-9.
	Lampson, B., et al., "Authentication in Distributed Systems: Theory and Practice," ACM Trans. Computer Systems, Vol. 10, No. 4 (Nov. 1992), pp. 265-310.
	Mori, R. et al., "Superdistribution The Concept and the Architecture," The Transactions of the IEICE, Vol. E73, No. 7, Tokyo Japan, July 1990, pp. 1133-1146.
	Olivier, MS, et al., "A Taxonomy for Secure Object-oriented Databases," ACM Transactions on Database Systems, Vol. 19, No. 1, March 1994, pp. 3-46.
	Olivier, MS, et al., "Building a Secure Database using Self-protecting Objects," Computers & Security, Vol. 11, No. 3, 259-271, 1992.
	Olivier, MS, et al., "Secure Object-oriented Databases," Ph.D. Thesis, Rand Afrikaans University, Johannesburg, December 1991, pages I to xiv and 1-183.
CB	Olivier, MS, et al., "DISCO: A Discretionary Security Model for Object-oriented Databases," in GG Gable and WJ Caelli, Eds., IT Security: The Need for International Cooperation, pp. 345-357, Elsevier Science Publishers B.V. (North Holland), 1992.



INFORMATION DISCLOSURE CITATION

RECEIVED

Atty. Docket No.	07451.0001-18000	Appln. No.	09/870,801
Applicant	Karl L. Ginter, et al.		NOV 01 2002
Filing Date	June 1, 2001	Group:	2132
			Technology Center 2100

68	Coalition for Networked Information, Interactive Multimedia Association, John F. Kennedy School of Government, "Proceedings: Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment," 1994, Journal of the Interactive Multimedia Association, available at <http://www.cni.org/docs/ima.ip-workshop>, 308 pages.		
	Siebert, O., et al. "Digibox: A Self-Protecting Container for Information Commerce," Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, pp. 1-13.		
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication," Xerox PARC, Palo Alto, CA, 1994-1995, 35 pages.		
	Stefik, M., "Letting Loose the Light: Igniting Commerce in Electronic Publication, in Internet Dreams: Archetypes, Myths, and Metaphors," Massachusetts Institute of Technology, 1996, pp. 219-53.		
	Stefik, M., Chapter 7, Classification in "Introduction to Knowledge Systems," Morgan Kaufmann Publishers, Inc., 1995, pp. 543-607.		
	Tygar, J.D., et al., "Cryptography: It's Not Just for Electronic Mail Anymore," CMU-CS-93-107, School of Computer Science Carnegie Mellon University, Pittsburgh, PA, March 1, 1993, pp. 1-21.		
	Tygar, J.D., et al., "Dyad: A System for Using Physically Secure Coprocessors," School of Computer Science, Carnegie Mellon University, Pittsburgh, PA, pp. 1-41		
	Tygar, J.D., et al., "Strongbox: A System for Self Securing Programs," CMU Computer Science: 25 th Anniversary Commemorative, R. Rashid (ed.) Addison-Wesley, 1991.		
	White, J.E., "Telescript Technology: The Foundation for the Electronic Marketplace," General Magic, 1994.		
68	Wobber, E., et al., "Authentication in the Taos Operating System," an extended version of a paper presented at the 14 th ACM Symposium on Operating System Principles, December 1993, pp. 1-38.		
Examiner	Gilberto Barrow	Date Considered	6/21/00
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	